

## § 141.1

## 40 CFR Ch. I (7–1–02 Edition)

implement to continue to avoid filtration?

- 141.522 How does the State determine whether my system's watershed control requirements are adequate?

### DISINFECTION PROFILE

- 141.530 What is a disinfection profile and who must develop one?
- 141.531 What criteria must a State use to determine that a profile is unnecessary?
- 141.532 How does my system develop a disinfection profile and when must it begin?
- 141.533 What data must my system collect to calculate a disinfection profile?
- 141.534 How does my system use this data to calculate an inactivation ratio?
- 141.535 What if my system uses chloramines, ozone, or chlorine dioxide for primary disinfection?
- 141.536 My system has developed an inactivation ratio; what must we do now?

### DISINFECTION BENCHMARK

- 141.540 Who has to develop a disinfection benchmark?
- 141.541 What are significant changes to disinfection practice?
- 141.542 What must my system do if we are considering a significant change to disinfection practices?
- 141.543 How is the disinfection benchmark calculated?
- 141.544 What if my system uses chloramines, ozone, or chlorine dioxide for primary disinfection?

### COMBINED FILTER EFFLUENT REQUIREMENTS

- 141.550 Is my system required to meet subpart T combined filter effluent turbidity limits?
- 141.551 What strengthened combined filter effluent turbidity limits must my system meet?
- 141.552 My system consists of "alternative filtration" and is required to conduct a demonstration—what is required of my system and how does the State establish my turbidity limits?
- 141.553 My system practices lime softening—is there any special provision regarding my combined filter effluent?

### INDIVIDUAL FILTER TURBIDITY REQUIREMENTS

- 141.560 Is my system subject to individual filter turbidity requirements?
- 141.561 What happens if my system's turbidity monitoring equipment fails?
- 141.562 My system only has two or fewer filters—is there any special provision regarding individual filter turbidity monitoring?
- 141.563 What follow-up action is my system required to take based on continuous turbidity monitoring?

- 141.564 My system practices lime softening—is there any special provision regarding my individual filter turbidity monitoring?

### REPORTING AND RECORDKEEPING REQUIREMENTS

- 141.570 What does subpart T require that my system report to the State?
- 141.571 What records does subpart T require my system to keep?

AUTHORITY: 42 U.S.C. 300f, 300g-1, 300g-2, 300g-3, 300g-4, 300g-5, 300g-6, 300j-4, 300j-9, and 300j-11.

SOURCE: 40 FR 59570, Dec. 24, 1975, unless otherwise noted.

NOTE: For community water systems serving 75,000 or more persons, monitoring must begin 1 year following promulgation and the effective date of the MCL is 2 years following promulgation. For community water systems serving 10,000 to 75,000 persons, monitoring must begin within 3 years from the date of promulgation and the effective date of the MCL is 4 years from the date of promulgation. Effective immediately, systems that plan to make significant modifications to their treatment processes for the purpose of complying with the TTHM MCL are required to seek and obtain State approval of their treatment modification plans. This note affects §§141.2, 141.6, 141.12, 141.24 and 141.30. For additional information see 44 FR 68641, Nov. 29, 1979.

## Subpart A—General

### § 141.1 Applicability.

This part establishes primary drinking water regulations pursuant to section 1412 of the Public Health Service Act, as amended by the Safe Drinking Water Act (Pub. L. 93-523); and related regulations applicable to public water systems.

### § 141.2 Definitions.

As used in this part, the term:

*Act* means the Public Health Service Act, as amended by the Safe Drinking Water Act, Public Law 93-523.

*Action level*, is the concentration of lead or copper in water specified in §141.80(c) which determines, in some cases, the treatment requirements contained in subpart I of this part that a water system is required to complete.

*Best available technology* or *BAT* means the best technology, treatment techniques, or other means which the Administrator finds, after examination

## Environmental Protection Agency

## § 141.2

for efficacy under field conditions and not solely under laboratory conditions, are available (taking cost into consideration). For the purposes of setting MCLs for synthetic organic chemicals, any BAT must be at least as effective as granular activated carbon.

*Coagulation* means a process using coagulant chemicals and mixing by which colloidal and suspended materials are destabilized and agglomerated into flocs.

*Community water system* means a public water system which serves at least 15 service connections used by year-round residents or regularly serves at least 25 year-round residents.

*Compliance cycle* means the nine-year calendar year cycle during which public water systems must monitor. Each compliance cycle consists of three three-year compliance periods. The first calendar year cycle begins January 1, 1993 and ends December 31, 2001; the second begins January 1, 2002 and ends December 31, 2010; the third begins January 1, 2011 and ends December 31, 2019.

*Compliance period* means a three-year calendar year period within a compliance cycle. Each compliance cycle has three three-year compliance periods. Within the first compliance cycle, the first compliance period runs from January 1, 1993 to December 31, 1995; the second from January 1, 1996 to December 31, 1998; the third from January 1, 1999 to December 31, 2001.

*Comprehensive performance evaluation (CPE)* is a thorough review and analysis of a treatment plant's performance-based capabilities and associated administrative, operation and maintenance practices. It is conducted to identify factors that may be adversely impacting a plant's capability to achieve compliance and emphasizes approaches that can be implemented without significant capital improvements. For purpose of compliance with subparts P and T of this part, the comprehensive performance evaluation must consist of at least the following components: Assessment of plant performance; evaluation of major unit processes; identification and prioritization of performance limiting factors; assessment of the applicability

of comprehensive technical assistance; and preparation of a CPE report.

*Confluent growth* means a continuous bacterial growth covering the entire filtration area of a membrane filter, or a portion thereof, in which bacterial colonies are not discrete.

*Contaminant* means any physical, chemical, biological, or radiological substance or matter in water.

*Conventional filtration treatment* means a series of processes including coagulation, flocculation, sedimentation, and filtration resulting in substantial particulate removal.

*Corrosion inhibitor* means a substance capable of reducing the corrosivity of water toward metal plumbing materials, especially lead and copper, by forming a protective film on the interior surface of those materials.

*CT* or *CT<sub>calc</sub>* is the product of "residual disinfectant concentration" (C) in mg/l determined before or at the first customer, and the corresponding "disinfectant contact time" (T) in minutes, i.e., "C" x "T". If a public water system applies disinfectants at more than one point prior to the first customer, it must determine the CT of each disinfectant sequence before or at the first customer to determine the total percent inactivation or "total inactivation ratio." In determining the total inactivation ratio, the public water system must determine the residual disinfectant concentration of each disinfection sequence and corresponding contact time before any subsequent disinfection application point(s). "CT<sub>99.9</sub>" is the CT value required for 99.9 percent (3-log) inactivation of *Giardia lamblia* cysts. CT<sub>99.9</sub> for a variety of disinfectants and conditions appear in tables 1.1-1.6, 2.1, and 3.1 of § 141.74(b)(3).

$$\frac{CT_{calc}}{CT_{99.9}}$$

is the inactivation ratio. The sum of the inactivation ratios, or total inactivation ratio shown as

$$\sum \frac{(CT_{calc})}{(CT_{99.9})}$$

is calculated by adding together the inactivation ratio for each disinfection sequence. A total inactivation ratio equal to or greater than 1.0 is assumed to provide a 3-log inactivation of *Giardia lamblia* cysts.

*Diatomaceous earth filtration* means a process resulting in substantial particulate removal in which (1) a precoat cake of diatomaceous earth filter media is deposited on a support membrane (septum), and (2) while the water is filtered by passing through the cake on the septum, additional filter media known as body feed is continuously added to the feed water to maintain the permeability of the filter cake.

*Direct filtration* means a series of processes including coagulation and filtration but excluding sedimentation resulting in substantial particulate removal.

*Disinfectant* means any oxidant, including but not limited to chlorine, chlorine dioxide, chloramines, and ozone added to water in any part of the treatment or distribution process, that is intended to kill or inactivate pathogenic microorganisms.

*Disinfectant contact time* (“T” in CT calculations) means the time in minutes that it takes for water to move from the point of disinfectant application or the previous point of disinfectant residual measurement to a point before or at the point where residual disinfectant concentration (“C”) is measured. Where only one “C” is measured, “T” is the time in minutes that it takes for water to move from the point of disinfectant application to a point before or at where residual disinfectant concentration (“C”) is measured. Where more than one “C” is measured, “T” is (a) for the first measurement of “C”, the time in minutes that it takes for water to move from the first or only point of disinfectant application to a point before or at the point where the first “C” is measured and (b) for subsequent measurements of “C”, the time in minutes that it takes for water to move from the previous “C” measurement point to the “C” measurement point for which the particular “T” is being calculated. Disinfectant contact time in pipelines must be calculated based on “plug

flow” by dividing the internal volume of the pipe by the maximum hourly flow rate through that pipe. Disinfectant contact time within mixing basins and storage reservoirs must be determined by tracer studies or an equivalent demonstration.

*Disinfection* means a process which inactivates pathogenic organisms in water by chemical oxidants or equivalent agents.

*Disinfection profile* is a summary of *Giardia lamblia* inactivation through the treatment plant. The procedure for developing a disinfection profile is contained in §141.172 (Disinfection profiling and benchmarking) in subpart P and §§141.530–141.536 (Disinfection profile) in subpart T of this part.

*Domestic or other non-distribution system plumbing problem* means a coliform contamination problem in a public water system with more than one service connection that is limited to the specific service connection from which the coliform-positive sample was taken.

*Dose equivalent* means the product of the absorbed dose from ionizing radiation and such factors as account for differences in biological effectiveness due to the type of radiation and its distribution in the body as specified by the International Commission on Radiological Units and Measurements (ICRU).

*Effective corrosion inhibitor residual*, for the purpose of subpart I of this part only, means a concentration sufficient to form a passivating film on the interior walls of a pipe.

*Enhanced coagulation* means the addition of sufficient coagulant for improved removal of disinfection byproduct precursors by conventional filtration treatment.

*Enhanced softening* means the improved removal of disinfection byproduct precursors by precipitative softening.

*Filter profile* is a graphical representation of individual filter performance, based on continuous turbidity measurements or total particle counts versus time for an entire filter run, from startup to backwash inclusively, that includes an assessment of filter performance while another filter is being backwashed.

*Filtration* means a process for removing particulate matter from water by passage through porous media.

*First draw sample* means a one-liter sample of tap water, collected in accordance with §141.86(b)(2), that has been standing in plumbing pipes at least 6 hours and is collected without flushing the tap.

*Flocculation* means a process to enhance agglomeration or collection of smaller floc particles into larger, more easily settleable particles through gentle stirring by hydraulic or mechanical means.

*GAC10* means granular activated carbon filter beds with an empty-bed contact time of 10 minutes based on average daily flow and a carbon reactivation frequency of every 180 days.

*Ground water under the direct influence of surface water* (GWUDI) means any water beneath the surface of the ground with significant occurrence of insects or other macroorganisms, algae, or large-diameter pathogens such as *Giardia lamblia* or *Cryptosporidium*, or significant and relatively rapid shifts in water characteristics such as turbidity, temperature, conductivity, or pH which closely correlate to climatological or surface water conditions. Direct influence must be determined for individual sources in accordance with criteria established by the State. The State determination of direct influence may be based on site-specific measurements of water quality and/or documentation of well construction characteristics and geology with field evaluation.

*Gross alpha particle activity* means the total radioactivity due to alpha particle emission as inferred from measurements on a dry sample.

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*Haloacetic acids (five)* (HAA5) mean the sum of the concentrations in milligrams per liter of the haloacetic acid compounds (monochloroacetic acid, dichloroacetic acid, trichloroacetic acid, monobromoacetic acid, and dibromoacetic acid), rounded to two significant figures after addition.

*Halogen* means one of the chemical elements chlorine, bromine or iodine.

*Initial compliance period* means the first full three-year compliance period which begins at least 18 months after promulgation, except for contaminants listed at §141.61(a) (19)–(21), (c) (19)–(33), and §141.62(b) (11)–(15), initial compliance period means the first full three-year compliance period after promulgation for systems with 150 or more service connections (January 1993–December 1995), and first full three-year compliance period after the effective date of the regulation (January 1996–December 1998) for systems having fewer than 150 service connections.

*Large water system*, for the purpose of subpart I of this part only, means a water system that serves more than 50,000 persons.

*Lead service line* means a service line made of lead which connects the water main to the building inlet and any lead pigtail, gooseneck or other fitting which is connected to such lead line.

*Legionella* means a genus of bacteria, some species of which have caused a type of pneumonia called Legionnaires Disease.

*Man-made beta particle and photon emitters* means all radionuclides emitting beta particles and/or photons listed in Maximum Permissible Body Burdens and Maximum Permissible Concentration of Radionuclides in Air or Water for Occupational Exposure, NBS Handbook 69, except the daughter products of thorium-232, uranium-235 and uranium-238.

*Maximum contaminant level* means the maximum permissible level of a contaminant in water which is delivered to any user of a public water system.

*Maximum contaminant level goal* or *MCLG* means the maximum level of a contaminant in drinking water at which no known or anticipated adverse effect on the health of persons would occur, and which allows an adequate margin of safety. Maximum contaminant level goals are nonenforceable health goals.

*Maximum residual disinfectant level* (MRDL) means a level of a disinfectant added for water treatment that may not be exceeded at the consumer's tap without an unacceptable possibility of adverse health effects. For chlorine and chloramines, a PWS is in compliance

with the MRDL when the running annual average of monthly averages of samples taken in the distribution system, computed quarterly, is less than or equal to the MRDL. For chlorine dioxide, a PWS is in compliance with the MRDL when daily samples are taken at the entrance to the distribution system and no two consecutive daily samples exceed the MRDL. MRDLs are enforceable in the same manner as maximum contaminant levels under Section 1412 of the Safe Drinking Water Act. There is convincing evidence that addition of a disinfectant is necessary for control of waterborne microbial contaminants. Notwithstanding the MRDLs listed in §141.65, operators may increase residual disinfectant levels of chlorine or chloramines (but not chlorine dioxide) in the distribution system to a level and for a time necessary to protect public health to address specific microbiological contamination problems caused by circumstances such as distribution line breaks, storm runoff events, source water contamination, or cross-connections.

*Maximum residual disinfectant level goal (MRDLG)* means the maximum level of a disinfectant added for water treatment at which no known or anticipated adverse effect on the health of persons would occur, and which allows an adequate margin of safety. MRDLGs are nonenforceable health goals and do not reflect the benefit of the addition of the chemical for control of waterborne microbial contaminants.

*Maximum Total Trihalomethane Potential (MTP)* means the maximum concentration of total trihalomethanes produced in a given water containing a disinfectant residual after 7 days at a temperature of 25 °C or above.

*Medium-size water system*, for the purpose of subpart I of this part only, means a water system that serves greater than 3,300 and less than or equal to 50,000 persons.

*Near the first service connection* means at one of the 20 percent of all service connections in the entire system that are nearest the water supply treatment facility, as measured by water transport time within the distribution system.

*Non-community water system* means a public water system that is not a community water system. A non-community water system is either a “transient non-community water system (TWS)” or a “non-transient non-community water system (NTNCWS).”

*Non-transient non-community water system* or *NTNCWS* means a public water system that is not a community water system and that regularly serves at least 25 of the same persons over 6 months per year.

*Optimal corrosion control treatment*, for the purpose of subpart I of this part only, means the corrosion control treatment that minimizes the lead and copper concentrations at users’ taps while insuring that the treatment does not cause the water system to violate any national primary drinking water regulations.

*Performance evaluation sample* means a reference sample provided to a laboratory for the purpose of demonstrating that the laboratory can successfully analyze the sample within limits of performance specified by the Agency. The true value of the concentration of the reference material is unknown to the laboratory at the time of the analysis.

*Person* means an individual; corporation; company; association; partnership; municipality; or State, Federal, or tribal agency.

*Picocurie (pCi)* means the quantity of radioactive material producing 2.22 nuclear transformations per minute.

*Point of disinfectant application* is the point where the disinfectant is applied and water downstream of that point is not subject to recontamination by surface water runoff.

*Point-of-entry treatment device (POE)* is a treatment device applied to the drinking water entering a house or building for the purpose of reducing contaminants in the drinking water distributed throughout the house or building.

*Point-of-use treatment device (POU)* is a treatment device applied to a single tap used for the purpose of reducing contaminants in drinking water at that one tap.

*Public water system* means a system for the provision to the public of water for human consumption through pipes

or, after August 5, 1998, other constructed conveyances, if such system has at least fifteen service connections or regularly serves an average of at least twenty-five individuals daily at least 60 days out of the year. Such term includes: any collection, treatment, storage, and distribution facilities under control of the operator of such system and used primarily in connection with such system; and any collection or pretreatment storage facilities not under such control which are used primarily in connection with such system. Such term does not include any "special irrigation district." A public water system is either a "community water system" or a "noncommunity water system."

*Rem* means the unit of dose equivalent from ionizing radiation to the total body or any internal organ or organ system. A "millirem (mrem)" is 1/1000 of a rem.

*Repeat compliance period* means any subsequent compliance period after the initial compliance period.

*Residual disinfectant concentration* ("C" in CT calculations) means the concentration of disinfectant measured in mg/l in a representative sample of water.

*Sanitary survey* means an onsite review of the water source, facilities, equipment, operation and maintenance of a public water system for the purpose of evaluating the adequacy of such source, facilities, equipment, operation and maintenance for producing and distributing safe drinking water.

*Sedimentation* means a process for removal of solids before filtration by gravity or separation.

*Service connection*, as used in the definition of *public water system*, does not include a connection to a system that delivers water by a constructed conveyance other than a pipe if:

(1) The water is used exclusively for purposes other than residential uses (consisting of drinking, bathing, and cooking, or other similar uses);

(2) The State determines that alternative water to achieve the equivalent level of public health protection provided by the applicable national primary drinking water regulation is provided for residential or similar uses for drinking and cooking; or

(3) The State determines that the water provided for residential or similar uses for drinking, cooking, and bathing is centrally treated or treated at the point of entry by the provider, a pass-through entity, or the user to achieve the equivalent level of protection provided by the applicable national primary drinking water regulations.

*Service line sample* means a one-liter sample of water collected in accordance with §141.86(b)(3), that has been standing for at least 6 hours in a service line.

*Single family structure*, for the purpose of subpart I of this part only, means a building constructed as a single-family residence that is currently used as either a residence or a place of business.

*Slow sand filtration* means a process involving passage of raw water through a bed of sand at low velocity (generally less than 0.4 m/h) resulting in substantial particulate removal by physical and biological mechanisms.

*Small water system*, for the purpose of subpart I of this part only, means a water system that serves 3,300 persons or fewer.

*Special irrigation district* means an irrigation district in existence prior to May 18, 1994 that provides primarily agricultural service through a piped water system with only incidental residential or similar use where the system or the residential or similar users of the system comply with the exclusion provisions in section 1401(4)(B)(i)(II) or (III).

*Standard sample* means the aliquot of finished drinking water that is examined for the presence of coliform bacteria.

*State* means the agency of the State or Tribal government which has jurisdiction over public water systems. During any period when a State or Tribal government does not have primary enforcement responsibility pursuant to section 1413 of the Act, the term "State" means the Regional Administrator, U.S. Environmental Protection Agency.

*Subpart H systems* means public water systems using surface water or ground water under the direct influence of surface water as a source that are subject

to the requirements of subpart H of this part.

*Supplier of water* means any person who owns or operates a public water system.

*Surface water* means all water which is open to the atmosphere and subject to surface runoff.

*SUVA* means Specific Ultraviolet Absorption at 254 nanometers (nm), an indicator of the humic content of water. It is a calculated parameter obtained by dividing a sample's ultraviolet absorption at a wavelength of 254 nm ( $UV_{254}$ ) (in  $m^{-1}$ ) by its concentration of dissolved organic carbon (DOC) (in mg/L).

*System with a single service connection* means a system which supplies drinking water to consumers via a single service line.

*Too numerous to count* means that the total number of bacterial colonies exceeds 200 on a 47-mm diameter membrane filter used for coliform detection.

*Total Organic Carbon (TOC)* means total organic carbon in mg/L measured using heat, oxygen, ultraviolet irradiation, chemical oxidants, or combinations of these oxidants that convert organic carbon to carbon dioxide, rounded to two significant figures.

*Total trihalomethanes (TTHM)* means the sum of the concentration in milligrams per liter of the trihalomethane compounds (trichloromethane [chloroform], dibromochloromethane, bromodichloromethane, and tribromomethane [bromoform]), rounded to two significant figures.

*Transient non-community water system* or *TWS* means a non-community water system that does not regularly serve at least 25 of the same persons over six months per year.

*Trihalomethane (THM)* means one of the family of organic compounds, named as derivatives of methane, wherein three of the four hydrogen atoms in methane are each substituted by a halogen atom in the molecular structure.

*Uncovered finished water storage facility* is a tank, reservoir, or other facility used to store water that will undergo no further treatment except residual disinfection and is open to the atmosphere.

*Virus* means a virus of fecal origin which is infectious to humans by waterborne transmission.

*Waterborne disease outbreak* means the significant occurrence of acute infectious illness, epidemiologically associated with the ingestion of water from a public water system which is deficient in treatment, as determined by the appropriate local or State agency.

[40 FR 59570, Dec. 24, 1975, as amended at 41 FR 28403, July 9, 1976; 44 FR 68641, Nov. 29, 1979; 51 FR 11410, Apr. 2, 1986; 52 FR 20674, June 2, 1987; 52 FR 25712, July 8, 1987; 53 FR 37410, Sept. 26, 1988; 54 FR 27526, 27562, June 29, 1989; 56 FR 3578, Jan. 30, 1991; 56 FR 26547, June 7, 1991; 57 FR 31838, July 17, 1992; 59 FR 34322, July 1, 1994; 61 FR 24368, May 14, 1996; 63 FR 23366, Apr. 28, 1998; 63 FR 69463, 69515, Dec. 16, 1998; 66 FR 7061, Jan. 22, 2001; 67 FR 1835, Jan. 14, 2002]

### § 141.3 Coverage.

This part shall apply to each public water system, unless the public water system meets all of the following conditions:

- (a) Consists only of distribution and storage facilities (and does not have any collection and treatment facilities);
- (b) Obtains all of its water from, but is not owned or operated by, a public water system to which such regulations apply;
- (c) Does not sell water to any person; and
- (d) Is not a carrier which conveys passengers in interstate commerce.

### § 141.4 Variances and exemptions.

(a) Variances or exemptions from certain provisions of these regulations may be granted pursuant to sections 1415 and 1416 of the Act and subpart K of part 142 of this chapter (for small system variances) by the entity with primary enforcement responsibility, except that variances or exemptions from the MCL for total coliforms and variances from any of the treatment technique requirements of subpart H of this part may not be granted.

(b) EPA has stayed the effective date of this section relating to the total coliform MCL of § 141.63(a) for systems that demonstrate to the State that the violation of the total coliform MCL is due to a persistent growth of total coliforms in the distribution system rather